

02-14-01

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EXPRESS MAIL CERTIFICATE

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Typed or Printed Name

MARGARET D. PIERCE

Express Mail No.

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Signature

Date

2/12/01

PRO

02/12/01

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

CUSTOMER NO. 24353

Docket No. 6510-096CIP3

First Named Inventor Mahley, et al.

Title: Compounds Effecting Neuron Remodeling and Assays for Same

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

Address to: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. <input checked="" type="checkbox"/> Fee Transmittal Form	5. <input type="checkbox"/> Microfiche Computer Program (<i>Appendix</i>)
2. <input checked="" type="checkbox"/> Specification Total Pages 73 (preferred arrangement set forth below)	6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (<i>if applicable, all necessary</i>)
- Descriptive title of the invention	
- Cross Reference to Related Applications	
- Statement Regarding Fed sponsored R & D	
- Reference to Microfiche Appendix	
- Background of the Invention	
- Brief Summary of the Invention	
- Brief Description of the Drawings (if filed)	
- Detailed Description	
- Claim(s)	
- Abstract of the Disclosure	
3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113)	Total Sheets 13
4. <input checked="" type="checkbox"/> Oath or Declaration	Total Sheets 02
a. <input type="checkbox"/> Newly executed (original or copy)	
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 16 completed)	
i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)	
c. <input checked="" type="checkbox"/> Unsigned	

7. Assignment Papers (cover sheet & document(s))

8. 37 CFR 3.73(b) Statement — Power of Attorney
(*when there is an assignee*)

9. English Translation Document (*if applicable*)

10. Information Disclosure Statement (IDS)/PTO-1449 — Copies of IDS Citations

11. Preliminary Amendment

12. Return Receipt Postcard (MPEP 503)
(*Should be specifically itemized*)

13. Small Entity — Statement filed in prior application
Statement(s) — Status still proper and desired

14. Certified Copy of Priority Document(s)
(*if foreign priority is claimed*)

15. Other:

16. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

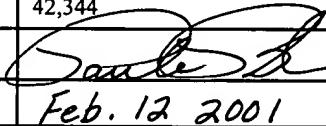
Continuation Divisional Continuation-in-part (CIP) of prior application No. 09/070,675, filed April 30, 1998, which application is a CIP of application serial No. 08/659,785, filed January 19, 1996, which application is a CIP of application serial No. 60/005,550, filed October 17, 1995.

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new non-provisional applications under 37 CFR 1.53(b))

17. CORRESPONDENCE ADDRESS

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SIGNATURE of Applicant or Assignee of Record

Individual Name	Paula A. Borden		
Registration No.	42,344		
Signature			
Date	Feb. 12, 2001		

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FEE TRANSMITTAL

Note: Effective October 1, 1998.
Patent fees are subject to annual revision.

CUSTOMER NO. 4353

Attorney Docket Number 6510-096CIP3

Confirmation Number N/A

First Named Inventor Mahley, et al.

Application Number N/A

Filing Date Herewith

Group Art Unit N/A

Examiner Name N/A

METHOD OF PAYMENT

- A Check Is Enclosed
- The Commissioner is hereby authorized to charge the following and any additional fees including fees required under 37 CFR 1.16 and 1.17 and credit any overpayments to: Deposit Account No. 50-0815.
Deposit Account Name: Bozicevic, Field & Francis LLP

FEE CALCULATION

1. FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due
101	710	201	355	Utility filing fee	\$
102	320	206	160	Design filing fee	
104	490	207	245	Plant filing fee	
109	710	208	355	Reissue filing fee	
110	150	214	75	Provisional filing fee	
Subtotal (1)					\$ 355

2. CLAIMS

No. of claims as filed or after amendment	Most claims previously paid	Extra claims	Fee from below	Fee Due
Total claims	28	20	x 9	\$72
Ind. claims	14	03	x 40	\$440
Multiple Dependent claims			x	

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim
109	80	209	40	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of and over original patent
Subtotal (2) \$512				

3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Fee Due	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due
105	130	205	65	Surcharge - late filing fee or oath		127	50	227	25
139	130	139	130	Non-English specification		147	2,520	147	2,520
115	110	215	55	Ext. for reply within first month		116	390	216	195
117	890	217	445	Ext. for reply within third month		118	1,390	218	695
128	1,890	228	945	Ext. for reply within fifth month		119	310	219	155
120	310	220	155	Filing brief in support of appeal		121	270	221	135
140	110	240	55	Petition to revive - unavoidable		141	1,240	241	620
142	1,240	242	620	Utility issue fee (or reissue)		122	130	122	130
123	50	123	50	Petitions related to prov. appl.		126	180	126	180
146	710	246	355	Filing submission after final rejection (37 CFR 1.129(a))		149	710	249	355
112	920*	112	920*	Requesting publication of SIR prior to Examiner action		113	1840*	113	1840*
143	440	243	220	Design issue fee		144	600	244	300
581	40	581	40	Recording patent assignment		Other fee (specify)			

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$0.00

TOTAL AMOUNT TO BE CHARGED (\$ 867.00)

SUBMITTED BY

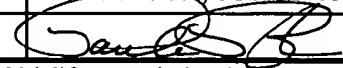
Complete (if applicable)

Typed or Printed Name

Paula A. Borden, BOZICEVIC, FIELD & FRANCIS LLP

Reg. Number 42,344

Signature



Date

Feb. 12, 2001

Deposit Account

50-0815